



Information Exchange under the DLMS

SDR Transformation Initiative, DoD WebSDR, & Item Unique Identification (IUID)

SDR Committee Meeting, Dec 6, 2006



Discussion

- **Policy Development**
- **Relevant IUID-related Approved DLMS Changes (ADCs)**



UID Policy Declaration



THE UNDER SECRETARY OF DEFENSE

3010 DEFENSE PENTAGON
WASHINGTON, DC 20301-3010

DEC 23 2004

MEMORANDUM FOR: SEE DISTRIBUTION

**SUBJECT: Policy for Unique Identification (UID) of Tangible Personal Property
Legacy Items in Inventory and Operational Use, Including Government
Furnished Property (GFP)**

Effective immediately, this policy update establishes the requirement to apply UID to existing personal property items in inventory and operational use, that is, legacy items. In addition, the policy is formally extended to specifically include items manufactured by organic DoD depots. This policy for legacy personal property items does not impact the mandatory UID DFARS clause to be included in all new solicitations and contracts issued after January 1, 2004, as stated in my UID Policy Memoranda.

UID will be a cornerstone of DoD Business Transformation. Therefore, I request that the Military Departments direct all program and item managers to plan for and implement UID for existing legacy personal property items in inventory and in operational use. UID plans should take an evolutionary approach, as I understand



ADC 128 (August 2004)

- **Comprehensive update supporting unique identification of items Business rule**
 - **Report discrepancies involving unique item identification under Unique Item Tracking (UIT) program requirements or as contractually required under UID program policy. Discrepancies may be related to the packaging label, including automated information technology (AIT); the item marking, including AIT; supply documentation; the due-in record; and/or a mismatch between the item and any of these.**
 - **The application of these elements will vary according the multiple factures such as the type of item, the specific contract requirements, and the degree of implementation.**
- **Format and definitions added to guidance**



ADC 128 (continued)

- **New IUID discrepancy codes:**

U01 Unique identification data on label missing or damaged/unreadable

U02 Unique identification data on item missing or damaged/unreadable

U03 Unique identification data on supply documentation missing or damaged/unreadable

U04 Unique identification data not provided on shipping notice

U05 Non-conformance to unique identification requirements under terms of contract

U06 Multiple containers without separate unique identification data listings

U07 Mismatch between unique identification data on item and label

U08 Mismatch between unique identification data on item and shipping documentation

U09 Mismatch between unique identification data on item and due-in or shipping notice.

U10 Mismatched or missing unique identification discovered upon opening a sealed pack.*

U11 Material unidentifiable; stock number missing or damaged

U12 Duplicate unique identification

*** Original wording restricted discrepancy to sealed vendor pa**



ADC 144 (March 2005)

- **SDR Transaction Exchange Business Rules**
 - **SDR IPT Meeting, April 2004**
 - **Narrative procedures developed for SDR guidance**
 - Joint Service/Agency Instruction
 - DLMS manual
 - **IUID data discrepancies are not subject to submission time frames**



IUID Policy - Under Development



ACQUISITION,
TECHNOLOGY
AND LOGISTICS

3010 DEFENSE PENTAGON
WASHINGTON, DC 20301-3010

MAY 12 2005

MEMORANDUM FOR: SEE DISTRIBUTION

SUBJECT: Policy Update for Item Unique Identification of Tangible Personal Property,
Including Government Property in the Possession of Contractors

Discrepancy Handling

The DoD UID Program Office, with concurrence from the Deputy Under Secretary of Defense, Logistics and Materiel Readiness, and the Director, Defense Procurement and Acquisition Policy, as needed, will develop and publish procedures for reconciling discrepancies associated with the UII, by September 2005. Discrepancies include any finding that the UII, DoD recognized IUID equivalent, or virtual UII assigned to an item is inconsistent with the associated physical mark.



ADC 174 (February 2006)

- **Enhances IUID capability**
 - **Allows entry of the UII, serial number, and/or batch/lot data elements on the screen or via transaction driven interface for multiple discrepant items on a single report.**
 - **Warranty Expiration Date**
 - **Shelf Life Expiration Date**
 - **Date Packed**
 - **DSS release 7.2 will have a phased implementation June - August 2007**
 - **Allows the use of WebSDR to submit SDRs for UID related discrepancies.**
 - **Carries data collected upon receipt and automatically places it on the SDR, if an SDR is needed.**



Draft DoD Instruction IUID for Personal Property

- **Re-iterates guidance from policy memos:**
 - **Incorporate the UII as a data element in the appropriate DLMS transactions.**
 - **Incorporate the UII as a common key in all DoD Unique Item Tracking**



Policy / Procedures - Specific to Discrepancy

- **Recommendation: Modify both IUID Instruction and Material Management Regulation to address discrepancy reporting associated with IUID.**
 - **Non-Compliant UID Items**
 - **Rejection at the time of inspection or acceptance**
 - **Item acceptance without inspection until pulled for use**
 - **Contractual and operational implications**
 - **Use existing resolution paths for non-compliant items**
 - Suspend material and submit SDR using designated IUID discrepancy codes to identify the specific discrepancy.
 - Discrepancies may be related to missing or damaged IUID within packaging label, including AIT; the item marking, including AIT; supply documentation; the due-in record; and/or a mismatch between the item and any of these.
 - Disposition directed by ICP/IMM:
 - **Before acceptance**
 - **Reject and return to vendor for rework, or**
 - **Accept with consideration from vendor and rework/correct in-house**
 - **If accepted with discrepancy, update UID registry when applicable**
 - **After acceptance**
 - **Ship back to contractor, or**
 - **Fix in-house and seek consideration**
 - **Update UID registry to correct if applicable**



Discrepancies in Shipments of Items w/IUID

- **Secondary issue -- inclusion of the UII on discrepancy reports for non-IUID specific (other than U-series) discrepancies.**
 - **Business rule options:**
 - **UIT item vs. IUID required vs. IUID present**
 - **Discrepancy category or code**
 - condition vs. packaging vs. shortage vs. documentation
- **Factor for DSS SDR interface for 7.2 release.**
- **Negative implications**
 - **Difficult (customer vs. depot); voluminous; unnecessary?**



ADC 219 - UIT Procedures

- **DLMS Manual, Vol. II, Supply, Chapter 19, Unique Item Tracking.**
- **C19.15. UII Receipt Discrepancies. When UII(s) received do not match accompanying UII documentation (i.e., quantity and/or UII(s)), the receiving activity shall record the actual quantity and UII(s) received, and notify the shipping activity or ICP/IMM, as applicable, of the discrepancy. The receiving activity shall submit a Supply Discrepancy Report (SDR) showing the appropriate discrepancy code for quantity and UID discrepancies in accordance with SDR procedures. For shortages or overages in shipments of controlled inventory items, arms, arms parts, ammunitions, and explosives, report within 24 hours of discovery (see SDR response timeframes). Action activities shall reply to all SDRs within 30 calendar days from date of receipt of reports by the action activity when associated with shipments of controlled inventory items. See Chapter 17 for SDR procedures.**